**Scheme Note Statement**

**Description and References**

**Introduction**

This Scheme Note outlines how CSEC conducts certifications, against protection profiles approved by National Information Assurance Partnership (NIAP), when the sponsor (or the developer) has the intention that the certified product shall be listed on National Information Assurance Partnership’s Product Compliant List (PCL).

Information about NIAP, NIAP’s regulations and about the Product Compliant List can be found at www.niap-ccevs.org.

**Certification**

A certification against a PP is performed according to the Swedish Common Criteria Evaluation and Certification Scheme and the Quality Management System of the Certification Body.

The certification is based on the assurance components and assurance requirements described in the Security Target, the PP being claimed in the Security Target and the associated Supporting Documents (if any).

Upon certification, an assessment of whether the ST is in exact compliance with the PP, being claimed in the Security Target, is made but CSEC makes no commitment on this subject.

For some PPs there are "Related Technical Decisions" listed on the NIAP web site. During certification the certifier will check that the evaluator has taken these decisions into account in the evaluation work.

The certification is complemented by a review of the Assurance Activity Report which is a document that shall be submitted to NIAP in order to be listed on the PCL.

**Review of the Assurance Activity Report**

When reviewing the Assurance Activity Report (AAR), the certifier will check that:

- the AAR contains the information required by NIAP’s document ‘Assurance Activity Reporting for Evaluations Against NIAP-Approved Protection Profiles’,
- the AAR is structured according to NIAP’s document mentioned above,
- the contents of the AAR is consistent with the content of the ITSEF’s Final Evaluation Report (FER) and other documents in the evaluation (e.g. test results).

CSEC will report the outcome of the review to the ITSEF, according to the normal reporting procedures of the Certification Body.

Since CSEC do not have authority to decide whether the product is eligible to be put on the PCL, CSEC will not provide a formal approval of the AAR.
Description and References

Pricing
Certifications against NIAP-approved protection profiles are priced in accordance with scheme publication SP-008 Charges and fees as follows:

- Application fee
- Certification fee, based on:
  - Assurance level covering all assurance requirements of the specific PP.
  - Estimation of the complexity class according to SP-008 'Charges and fees'.
- Review of the Assurance Activity Report (AAR) is offered at a fixed price equivalent to two (2) days of work.

Cooperation with NIAP
Before starting this type of certification, CSEC will notify NIAP. This notification includes the product name, the vendor, evaluation start date, and the NIAP-approved PP/EP with which compliance is being claimed.
During the certification, and in order to get guidance in the certification work, CSEC may exchange information with NIAP as deemed relevant and necessary.

Renewed Certification Decision
Additional reviews, outside the scope of the certification within the Swedish scheme, may be performed by NIAP when the product is requested to be listed on the NIAP PCL. Should NIAP's review result in the need for updating of some parts of the evaluation, a renewed certification decision may be considered, taking these changes into account.
Such updates of the evaluation and certification may be initiated at the request of the sponsor. CSEC will charge a fee, corresponding to the amount of work, for the renewed certification decision and the preparations for such a decision.

Documents to be submitted to NIAP
In order to get a certified product listed on the PCL, certain documents should be submitted to NIAP. At the time this Scheme Note is issued, these documents are:
- the Security Target,
- the Assurance Activity Report (AAR),
- the Administrative Guidance Documents,
- the Certification Report and
- the Certificate.
The part interested to get the product listed on the PCL should contact NIAP to get the latest updates on this subject.
CSEC is not responsible for submitting these documents to NIAP. It is the responsibility of the part interested to get the product listed on the PCL.

Disclaimer
A certification performed by CSEC does not automatically mean that a product will be accepted by NIAP to be listed on the PCL. It is within the authority of NIAP to approve product to be on the PCL. CSEC has no influence over, and will therefore not take any responsibility for, this decision.

Additional information
Description and References

NIAP may have issued additional information related to the usage of an approved PP. Such information may be found at NIAP’s web.

The part interested to get a product listed on the PCL is advised to get the latest information about this by contacting NIAP directly.

Appended Documents